

To Prime Minister,

Patent applicant's name

(in the case of a corporation, its name and the name of its representative)

Patent applicant's domicile or residence

Submission Form for Maintaining a Patent Application

With regard to Patent Application _____, for which a notification (Document No.) ____ (YY/MM/DD) was issued, I would like to maintain the patent application. Accordingly, I hereby submit this document while entering the matters listed in Article 67, paragraph (9), items (i) to (iii) of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures as follows, pursuant to the provisions of paragraph (10) of that Article.

1. Matters concerning the patent applicant

(1) Status of management by the patent applicant regarding information pertaining to the invention that could be an invention for security designation

Item	Checkbox	Remarks (When not applicable, enter the reasons therefor and future plans.)
(i) Status of measures concerning organizational information management		
(a) Are you properly managing persons who handle information pertaining to the invention that could be an invention for security designation (hereinafter such information is referred to as the "information pertaining to the invention," and such persons are referred to as "information handlers" in this Form), and have you appointed an administrator who integrally manages appropriate implementation of the measures to prevent the leakage of the information pertaining to the	<input type="checkbox"/>	

invention (hereinafter referred to as the "information administrator" in this Form)?		
(b) Have you clarified the responsibilities and duties of the information administrator and other information handlers?	<input type="checkbox"/>	
(c) Have you prepared a management ledger stating the names of the present and past information administrators and other information handlers, and other information necessary for properly managing the information pertaining to the invention?	<input type="checkbox"/>	
(d) Are you handling the information pertaining to the invention as trade secrets (meaning the trade secrets provided in Article 2, paragraph (6) of the Unfair Competition Prevention Act (Act No. 47 of 1993))?	<input type="checkbox"/>	
(e) Have you formulated and been implementing rules concerning proper management of the information pertaining to the invention, and are you evaluating the operation of the rules and making improvements in order to appropriately take measures concerning the management of the information pertaining to the invention?	<input type="checkbox"/>	
(f) Have you developed a system for administrative operations in preparation for a case where the leakage of the information pertaining to the invention has occurred or is likely to occur?	<input type="checkbox"/>	
(ii) Status of measures concerning human information management		

(a) Do you limit the scope of information handlers to the minimum necessary?	<input type="checkbox"/>	
(b) When adding an information handler, do you have the information administrator check in advance whether there is any possibility that the relevant person may leak the information pertaining to the invention, and when such possibility is found, do you prohibit the relevant person from handling the information pertaining to the invention?	<input type="checkbox"/>	
(c) Are you taking measures to have information handlers comply with the rules referred to in (e) of item (i)?	<input type="checkbox"/>	
(d) Do you have the information administrator provide necessary education and training to other information handlers?	<input type="checkbox"/>	
(iii) Status of measures concerning physical information management		
(a) Have you specified a zone to handle the information pertaining to the invention or to store the document, drawings, electromagnetic record (meaning a record made by an electronic method, a magnetic methods, or any other method not recognizable to human senses) or objects wherein the information pertaining to the invention is recorded, or objects that embody the information pertaining to the invention (hereinafter referred to as "documents, etc. pertaining to the invention" in this Form), and are you taking measures to manage and restrict entries into	<input type="checkbox"/>	

the zone thus specified (hereinafter referred to as the "specified zone" in this Form)?		
(b) Are you storing documents, etc. pertaining to the invention in the specified zone while using appropriate storage facilities and taking measures to prevent the leakage of the information pertaining to the invention?	<input type="checkbox"/>	
(c) When newly copying or creating documents, etc. pertaining to the invention, do you require to obtain approval of the information administrator in advance by presenting the reasons and the methods, and do you limit the number of the copies and the documents, etc. to the minimum necessary?	<input type="checkbox"/>	
(d) When taking documents, etc. pertaining to the invention out from the specified zone, do you require to obtain approval of the information administrator in advance by presenting the reasons and the methods?	<input type="checkbox"/>	
(e) When disposing documents, etc. pertaining to the invention, do you employ means by which they are restorable?	<input type="checkbox"/>	
(f) Beyond what is set forth in (a) to (e) above, are you taking measures to prevent theft and loss of documents, etc. pertaining to the invention?	<input type="checkbox"/>	
(iv) Status of measures concerning technical information management		
(a) Are you taking measures to limit the persons who can handle the information pertaining to the	<input type="checkbox"/>	

invention on a computer?		
(b) When a computer on which the information pertaining to the invention is handled is connected with electronic telecommunications lines, are you taking measures to prevent acts of unauthorized computer access (meaning the acts of unauthorized computer access provided in Article 2, paragraph (4) of the Act on Prohibition of Unauthorized Computer Access (Act No. 128 of 1999))?	<input type="checkbox"/>	
(c) Beyond what is set forth in (a) and (b) above, are you taking measures to prevent the leakage of the information pertaining to the invention on a computer?	<input type="checkbox"/>	

(Precautions for Making Entries)

When you are taking the measures set forth in each item, check the checkbox. For the items for which you checked the checkbox, attach documents to prove that you are taking relevant measures.

2. Matters concerning business entities other than the patent applicant that have been permitted to handle the information pertaining to the invention

(1) Whether there are any business entities other than the patent applicant that have been permitted to handle the information pertaining to the invention that could be an invention for security designation (Circle either of the below.)

Yes	No
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(2) Information concerning business entities other than the patent applicant that have been permitted to handle the information pertaining to the invention

(a) The number of business entities other than the patent applicant that have been permitted to handle the information pertaining to the invention (Enter the number of business entities.)

(b) Status of an individual business entity other than the patent applicant that has been permitted to handle the information pertaining to the invention (When there are multiple business entities, add the following table as appropriate.)

Name of the business entity (in the case of a corporation, its name and the name of its representative)		
Domicile or residence of the business entity		
Item	Checkbox	Remarks (When not applicable, enter the reasons therefor and future plans.)
(i) Status of measures concerning organizational information management		
(a) Has the business entity properly manage information handlers and appointed an information administrator as a person responsible for integrally managing appropriate implementation of the measures to prevent the leakage of the information pertaining to the invention?	<input type="checkbox"/>	
(b) Has the business entity clarified the responsibilities and duties of the information administrator and other information handlers?	<input type="checkbox"/>	
(c) Has the business entity prepared a management ledger stating the names of the present and past information administrators and other information handlers, and other information necessary for properly managing the information pertaining to the invention?	<input type="checkbox"/>	
(d) Is the business entity handling the information pertaining to the invention as trade secrets (meaning the trade secrets provided in Article 2, paragraph (6) of the Unfair Competition Prevention Act (Act No. 47 of 1993))?	<input type="checkbox"/>	
(e) Has the business entity formulated and been implementing	<input type="checkbox"/>	

rules concerning proper management of the information pertaining to the invention, and is the business entity evaluating the operation of the rules and making improvements in order to appropriately take measures concerning the management of the information pertaining to the invention?		
(f) Has the enterprise developed a system for administrative operations in preparation for a case where the leakage of the information pertaining to the invention has occurred or is likely to occur?	<input type="checkbox"/>	
(ii) Status of measures concerning human information management		
(a) Does the business entity limit the scope of information handlers to the minimum necessary?	<input type="checkbox"/>	
(b) When adding an information handler, does the business entity have the information administrator check in advance whether there is any possibility that the relevant person may leak the information pertaining to the invention, and when such possibility is found, does the business entity prohibit the relevant person from handling the information pertaining to the invention?	<input type="checkbox"/>	
(c) Is the business entity taking measures to have information handlers comply with the rules referred to in (e) of item (i)?	<input type="checkbox"/>	
(d) Does the business entity have the information administrator provide necessary education and training to other information handlers?	<input type="checkbox"/>	

(iii) Status of measures concerning physical information management		
(a) Has the business entity specified a zone to handle the information pertaining to the invention or to store documents, etc. pertaining to the invention, and is the business entity taking measures to manage and restrict entries into the specified zone?	<input type="checkbox"/>	
(b) Is the business entity storing documents, etc. pertaining to the invention in the specified zone while using appropriate storage facilities and taking measures to prevent the leakage of the information pertaining to the invention?	<input type="checkbox"/>	
(c) When newly copying or creating documents, etc. pertaining to the invention, does the business entity require to obtain approval of the information administrator in advance by presenting the reasons and the methods, and does the business entity limit the number of the copies and the documents, etc. to the minimum necessary?	<input type="checkbox"/>	
(d) When taking documents, etc. pertaining to the invention out from the specified zone, does the business entity require to obtain approval of the information administrator in advance by presenting the reasons and the methods?	<input type="checkbox"/>	
(e) When disposing documents, etc. pertaining to the invention, does the business entity employ means by which they are restorable?	<input type="checkbox"/>	
(f) Beyond what is set forth in (a) to (e) above, is the business entity taking measures to prevent theft and	<input type="checkbox"/>	

loss of documents, etc. pertaining to the invention?		
(iv) Status of measures concerning technical information management		
(a) Is the business entity taking measures to limit the persons who can handle the information pertaining to the invention on a computer?	<input type="checkbox"/>	
(b) When a computer on which the information pertaining to the invention is handled is connected with electronic telecommunications lines, is the business entity taking measures to prevent acts of unauthorized computer access (meaning the acts of unauthorized computer access provided in Article 2, paragraph (4) of the Act on Prohibition of Unauthorized Computer Access (Act No. 128 of 1999))?	<input type="checkbox"/>	
(c) Beyond what is set forth in (a) and (b) above, is the business entity taking measures to prevent the leakage of the information pertaining to the invention on a computer?	<input type="checkbox"/>	

(Precautions for Making Entries)

When the business entity is taking the measures set forth in each item, check the checkbox. For the items for which you checked the checkbox, attach documents to prove that the business entity is taking relevant measures.

3. Scheduled modifications

(When any modifications are scheduled for the matters entered in 1. or 2. above after the submission of this Form, describe the details of the modifications.)

(Remarks)

The form is to be in A4 size (the Japanese Industrial Standards).

To Prime Minister,

Designated patent applicant's name

(in the case of a corporation, its name and the name of its representative)

Designated patent applicant's domicile or residence

Application Form for a Permission for Adding an Invention Sharing Business Entities

With regard to the business entity that is to be newly permitted to handle the information pertaining to the invention for security designation associated with Patent Application _____, I hereby file an application as follows, pursuant to the provisions of Article 76, paragraph (1) of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures.

Note

1. The business entity that is to be newly permitted to handle the information pertaining to the invention for security designation

Name of the business entity (in the case of a corporation, its name and the name of its representative)	
Domicile or residence of the business entity	

2. Reasons why it is necessary to newly permit the business entity to handle the information pertaining to the invention for security designation

3. Scheduled information management by the business entity that is to be newly permitted to handle the information pertaining to the invention for security designation

Item	Checkbox	Remarks (When not applicable, enter the reasons therefor and future plans.)
(i) Availability of measures concerning organizational information management		
(a) Is it possible to properly manage persons who are to be newly	<input type="checkbox"/>	

permitted by the designated patent applicant to handle information pertaining to the invention for security designation (hereinafter such information is referred to as the "information on the invention for security designation," and such persons are referred to as "information handlers" in this Form), and to appoint an administrator who integrally manages appropriate implementation of the measures to prevent the leakage of the information on the invention for security designation (hereinafter referred to as the "administrator of the information on the invention for security designation" in this Form)?		
(b) Is it possible to clarify the responsibilities and duties of the administrator of the information on the invention for security designation and other information handlers?	<input type="checkbox"/>	
(c) Is it possible to prepare a management ledger stating the security designation period, the names of the present and past administrators of the information on the invention for security designation and other information handlers, the status of the authorization for the working of the invention, and other information necessary for properly managing the information on the invention for security designation?	<input type="checkbox"/>	
(d) Is it possible to handle the information on the invention for	<input type="checkbox"/>	

security designation as trade secrets (meaning the trade secrets provided in Article 2, paragraph (6) of the Unfair Competition Prevention Act (Act No. 47 of 1993))?		
(e) Is it possible to formulate and implement rules concerning proper management of the information on the invention for security designation and to evaluate the operation of the rules and make improvements in order to appropriately take measures concerning the management of the information on the invention for security designation?	<input type="checkbox"/>	
(f) When formulating the rules referred to in (e) of item (i) or making modifications to the rules, is it possible to obtain the confirmation of the designated patent applicant in advance?	<input type="checkbox"/>	
(g) Is it possible to develop a system for administrative operations in preparation for a case where the leakage of the information on the invention for security designation has occurred or is likely to occur?	<input type="checkbox"/>	
(ii) Availability of measures concerning human information management		
(a) Is it possible to limit the scope of information handlers to the minimum necessary?	<input type="checkbox"/>	
(b) When adding an information handler, is it possible to have the administrator of the information on the invention for security designation check in advance whether there is any possibility that the relevant person may leak the information on the invention for	<input type="checkbox"/>	

security designation, and when such possibility is found, is it possible to prohibit the relevant person from handling the information on the invention for security designation?		
(c) Is it possible to take measures to have information handlers comply with the rules referred to in (e) of item (i)?	<input type="checkbox"/>	
(d) Is it possible to have the administrator of the information on the invention for security designation provide necessary education and training to other information handlers?	<input type="checkbox"/>	
(iii) Availability of measures concerning physical information management		
(a) Is it possible to specify a zone to handle the information on the invention for security designation or to store the document, drawings, electromagnetic record (meaning a record made by an electronic method, a magnetic method, or any other method not recognizable to human senses) or objects wherein the information on the invention for security designation is recorded, or objects that embody the relevant information on the invention (hereinafter referred to as "documents, etc. containing the information on the invention for security designation" in this Form) and to take measures to manage and restrict entries into the zone thus specified (hereinafter referred to as the "specified zone" in this Form)?	<input type="checkbox"/>	
(b) Is it possible to store documents, etc. containing the information on the invention for security	<input type="checkbox"/>	

designation in the specified zone while using appropriate storage facilities and taking measures to prevent the leakage of the information on the invention for security designation?		
(c) When newly copying or creating documents, etc. containing the information on the invention for security designation, is it possible to require to obtain approval of the administrator of the information on the invention for security designation in advance by presenting the reasons and the methods, and to limit the number of the copies and the documents, etc. to the minimum necessary?	<input type="checkbox"/>	
(d) When taking documents, etc. containing the information on the invention for security designation out from the specified zone, is it possible to require to obtain approval of the administrator of the information on the invention for security designation in advance by presenting the reasons and the methods?	<input type="checkbox"/>	
(e) When disposing documents, etc. containing the information on the invention for security designation, is it possible to employ means by which they are restorable?	<input type="checkbox"/>	
(f) Beyond what is set forth in (a) to (e) above, is it possible to take measures to prevent theft and loss of documents, etc. containing the information on the invention for security designation?	<input type="checkbox"/>	
(iv) Availability of measures concerning technical information management		

(a) Is it possible to take measures to limit the persons who can handle the information on the invention for security designation on a computer?	<input type="checkbox"/>	
(b) When a computer on which the information on the invention for security designation is handled is connected with electronic telecommunications lines, is it possible to take measures to prevent acts of unauthorized computer access (meaning the acts of unauthorized computer access provided in Article 2, paragraph (4) of the Act on Prohibition of Unauthorized Computer Access (Act No. 128 of 1999))?	<input type="checkbox"/>	
(c) Beyond what is set forth in (a) and (b) above, is it possible to take measures to prevent the leakage of the information on the invention for security designation on a computer?	<input type="checkbox"/>	

(Precautions for Making Entries)

When it is possible to take the measures set forth in each item, check the checkbox. For the items for which you checked the checkbox, attach documents to prove that it is possible to take relevant measures.

(Remarks)

The form is to be in A4 size (the Japanese Industrial Standards).

To Prime Minister,

Designated patent applicant's name

(in the case of a corporation, its name and the name of its representative)

Designated patent applicant's domicile or residence

Notification Form for the Modification of Invention Sharing Business Entities

With regard to the invention sharing business entity pertaining to the invention for security designation associated with Patent Application _____, the following modification took place. Accordingly, I hereby file a notification, pursuant to the provisions of Article 76, paragraph (2) of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures.

Note

1. Invention sharing business entity where the modification is made

Name of the business entity (in the case of a corporation, its name and the name of its representative)	
Domicile or residence of the business entity	

2. Details of the modification

3. Reasons for the modification

(Remarks)

The form is to be in A4 size (the Japanese Industrial Standards).

To Prime Minister,

Requester's name

(in the case of a corporation, its name and the name of its representative)

Requester's domicile or residence

Form for Claim for Compensation

With regard to the invention for security designation associated with Patent Application _____, I hereby claim compensation as follows, pursuant to the provisions of Article 80, paragraph (2) of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures.

Note

1. Total amount of compensation claimed and a breakdown thereof
2. Reasons for the compensation claim

(Remarks)

The form is to be in A4 size (the Japanese Industrial Standards).

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No. issued on YY/MM/DD (valid until YY/MM/DD)		
Title	Name	Birth date
<div style="border: 1px solid black; height: 100px; width: 100%; display: flex; align-items: center; justify-content: center;"> (Photo) </div>		<div style="border: 1px solid black; padding: 5px;"> Identification Card of on-site inspection under Article 84, paragraph (1) of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures (Issuer with authority) </div>

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<p style="text-align: center;">Excerpt of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures</p> <p>(Collection of Reports and On-site Inspections)</p> <p>Article 84 (1) To the extent necessary for the enforcement of the provisions of this Chapter, the Prime Minister may seek from a designated patent applicant and an invention sharing business entity the submission of necessary reports or materials regarding the handling of inventions for security designation, or have an employee of the Prime minister enter the relevant person's office or other necessary place, ask questions regarding the handling of inventions for security designation, or inspect books, documents, or other items.</p> <p>(2) The employee who conducts an on-site inspection under the provisions of the preceding paragraph must carry an identification card and present it when requested by the people concerned.</p> <p>(3) The authority for the on-site inspections under the provisions of paragraph (1) must not be construed as having been granted for criminal investigation.</p> <p>Article 96 A person who has committed a violation that falls under any of the following items is punished by a fine of not more than three hundred thousand yen.</p> <p>(i) to (iv) (omitted)</p> <p>(v) when a person has failed to report or submit materials, has made a false report, has submitted false materials, has failed to answer the questions of the relevant official, has given a false answer, or has refused, obstructed, or avoided an inspection under the provisions of Article 48, paragraphs (5) through (7), Article 58, paragraph (2), or Article 84, paragraph (1);</p> <p>(vi) and (vii) (omitted)</p>

(Remarks) The form is to be in B8 size (the Japanese Industrial Standards).