

To the Prime Minister,

Patent Applicant's Name
(for a corporation, its name and the name of its representative)

Patent Applicant's Domicile or Residence

Document for Maintaining a Patent Application

I would like to maintain the Patent Application , for which a notification (Document No.) (YY/MM/DD) was issued. Accordingly, I hereby submit this document by entering the matters stated in Article 67, paragraph (9), items (i) through (iii) of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures pursuant to the provisions of paragraph (10) of that Article.

1. Matters on the patent applicant

(1) Status of information management of information on the invention that could be an invention for security designation by the patent applicant

Item	Checkbox	Remarks (Enter the reasons and future plans if not applicable)
(i) Status of measures on management of systematic information		
(a) Are you properly managing persons who handle the information on the invention that could be an invention for security designation (referred to as "information on the invention" below in this Form) (the persons are referred to as "persons handling information" below in this Form), and have appointed a responsible person who integrally manages appropriate implementation of the measures to	<input type="checkbox"/>	

prevent the leakage of the information on the invention (referred to as "responsible person for information management " below in this Form)?		
(b) Have you clarified the responsibilities and duties of the responsible person for information management and other persons handling information?	<input type="checkbox"/>	
(c) Have you developed a management register stating the names of the present and past responsible persons for information management and other persons handling information, and other necessary information to properly manage the information on the invention?	<input type="checkbox"/>	
(d) Are you handling the information on the invention as trade secrets (meaning the trade secrets provided for in Article 2, paragraph (6) of the Unfair Competition Prevention Act (Act No. 47 of 1993))?	<input type="checkbox"/>	
(e) Have you formulated and implemented rules on proper management of the information on the invention, and evaluated the operation of the rules and made improvements in order to appropriately take measures on the management of the information on the invention?	<input type="checkbox"/>	
(f) Have you developed a system for handling affairs when the leakage of the information on the invention has occurred or is likely to occur?	<input type="checkbox"/>	
(ii) Status of measures on management of personnel information		

(a) Do you limit the scope of persons handling information to the minimum necessary?	<input type="checkbox"/>	
(b) When adding a person to persons handling information, do you have the responsible person for information management check whether there is a risk that the person will leak the information on the invention in advance, and when it is likely that the risk will occur, do you prohibit the person from handling the information on the invention?	<input type="checkbox"/>	
(c) Are you taking measures to have persons handling information comply with the rules referred to in item (i), sub-item (e)?	<input type="checkbox"/>	
(d) Do you have the responsible person for information management conduct necessary education and training for other persons handling information?	<input type="checkbox"/>	
(iii) Status of measures on management of physical information		
(a) Have you taken measures to specify the zone for handling the information on the invention, or for storing documents, drawings, electronic or magnetic records (meaning a record made by electronic method, magnetic method, or other methods not perceivable by human senses) or objects in which the information on the invention is recorded, or objects that embody the information on the invention (referred to as "documents, etc. related to the invention" below in this Form), and to manage and restrict entries into	<input type="checkbox"/>	

the zone that has been specified (referred to as "specified zone" below in this Form)?		
(b) Are you storing documents, etc. related to the invention in the specified zone after taking measures to prevent the leakage of the information on the invention using appropriate storage facilities?	<input type="checkbox"/>	
(c) When newly copying or creating documents, etc. related to the invention, do you make it a rule to obtain the approval of the responsible person for information management in advance by presenting the reasons and the method, and limit the number of the copies and the documents, etc. to the minimum necessary?	<input type="checkbox"/>	
(d) When taking out documents, etc. related to the invention from the specified zone, do you make it a rule to obtain the approval of the responsible person for information management in advance by presenting the reasons and the method?	<input type="checkbox"/>	
(e) When disposing of documents, etc. related to the invention, do you use means that make restoration impossible?	<input type="checkbox"/>	
(f) Beyond what is stated in sub-items (a) through (e) are you taking measures to prevent theft and loss of documents, etc. related to the invention?	<input type="checkbox"/>	
(iv) Status of measures on management of technical information		
(a) Are you taking measures to limit the persons who can handle the information on the invention on a	<input type="checkbox"/>	

computer?		
(b) When a computer for handling the information on the invention is connected to telecommunications lines, are you taking measures to prevent acts of unauthorized computer access (meaning the acts of unauthorized computer access provided for in Article 2, paragraph (4) of the Act on Prohibition of Unauthorized Computer Access (Act No. 128 of 1999))?	<input type="checkbox"/>	
(c) Beyond what is stated in sub-items (a) and (b) are you taking measures to prevent the leakage of the information on the invention on a computer?	<input type="checkbox"/>	

(Points to Heed in Making Entries)

If you are taking the measures stated in each item, put a check mark in the checkbox. For the items marked with a check mark, attach documents certifying that you are taking the measures.

2. Matters on business entities other than the patent applicant that have been permitted to handle the information on the invention

(1) Whether there are any business entities other than the patent applicant that have been permitted to handle the information on the invention that could be an invention for security designation (Circle one that applies)

Yes	No
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(2) Information on business entities other than the patent applicant that have been permitted to handle the information on the invention

(a) The number of business entities other than the patent applicant that have been permitted to handle the information on the invention (Enter the number of business entities)

(b) Individual status of each business entity other than the patent applicant that has been permitted to handle the information on the invention (When there are multiple business entities, add the following table as appropriate)

Name of the business entity (for a corporation, its name and the name	
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of its representative)		
Domicile or residence of the business entity		
Item	Checkbox	Remarks (Enter the reasons and future plans if not applicable)
(i) Status of measures on management of systematic information		
(a) Does the business entity properly manage persons who handle the information on the invention and has appointed a responsible person for information management as a person responsible for integrally managing appropriate implementation of the measures to prevent the leakage of the information on the invention?	<input type="checkbox"/>	
(b) Has the business entity clarified the responsibilities and duties of the responsible person for information management and other persons handling information?	<input type="checkbox"/>	
(c) Has the business entity developed a management register stating the names of the present and past responsible persons for information management and other persons handling information, and other necessary information to properly manage the information on the invention?	<input type="checkbox"/>	
(d) Is the business entity handling the information on the invention as trade secrets (meaning the trade secrets provided for in Article 2, paragraph (6) of the Unfair Competition Prevention Act (Act No. 47 of 1993))?	<input type="checkbox"/>	
(e) Has the business entity formulated and implemented rules on proper management of the	<input type="checkbox"/>	

information on the invention, and evaluated the operation of the rules and made improvements in order to appropriately take measures on the management of the information on the invention?		
(f) Has the business entity developed a system for handling affairs when the leakage of the information on the invention has occurred or is likely to occur?	<input type="checkbox"/>	
(ii) Status of measures on management of personnel information		
(a) Does the business entity limit the scope of persons handling information to the minimum necessary?	<input type="checkbox"/>	
(b) When adding a person to persons handling information, does the business entity have the responsible person for information management check whether there is a risk that the person will leak the information on the invention in advance, and when it is likely that the risk will occur, does the business entity prohibit the person from handling the information on the invention?	<input type="checkbox"/>	
(c) Is the business entity taking measures to have persons handling information comply with the rules referred to in item (i), sub-item (e)?	<input type="checkbox"/>	
(d) Does the business entity have the responsible person for information management conduct necessary education and training for other persons handling information?	<input type="checkbox"/>	
(iii) Status of measures on management of physical information		
(a) Has the business entity taken measures to specify the zone for handling the information on the	<input type="checkbox"/>	

invention, or for storing documents, etc. related to the invention, and to manage and restrict entries into the specified zone?		
(b) Is the business entity storing documents, etc. related to the invention in the specified zone after taking measures to prevent the leakage of the information on the invention using appropriate storage facilities?	<input type="checkbox"/>	
(c) When newly copying or creating documents, etc. related to the invention, does the business entity make it a rule to obtain the approval of the responsible person for information management in advance by presenting the reasons and the method, and limit the number of the copies and the documents, etc. to the minimum necessary?	<input type="checkbox"/>	
(d) When taking out documents, etc. related to the invention from the specified zone, does the business entity make it a rule to obtain the approval of the responsible person for information management in advance by presenting the reasons and the method?	<input type="checkbox"/>	
(e) When disposing of documents, etc. related to the invention, does the business entity use means that make restoration impossible?	<input type="checkbox"/>	
(f) Beyond what is stated in sub-items (a) through (e) is the business entity taking measures to prevent theft and loss of documents, etc. related to the invention?	<input type="checkbox"/>	
(iv) Status of measures on management of technical information		
(a) Is the business entity taking	<input type="checkbox"/>	

measures to limit the persons who can handle the information on the invention on a computer?		
(b) When a computer for handling the information on the invention is connected to telecommunications lines, is the business entity taking measures to prevent acts of unauthorized computer access (meaning the acts of unauthorized computer access provided in Article 2, paragraph (4) of the Act on Prohibition of Unauthorized Computer Access (Act No. 128 of 1999))?	<input type="checkbox"/>	
(c) Beyond what is stated in sub-items (a) and (b), is the business entity taking measures to prevent the leakage of the information on the invention on a computer?	<input type="checkbox"/>	

(Points to Heed in Making Entries)

If the business entity is taking the measures stated in each item, put a check mark in the checkbox. For the items marked with a check mark, attach documents certifying that the business entity is taking the measures.

3. Scheduled Amendments

(When amendments are scheduled for the matters entered in 1. or 2. after submitting this Form, to state the content of the amendments.)

(Remarks)

The size of the form is to be A4 size, Japanese Industrial Standards.

To the Prime Minister,

Designated Patent Applicant's Name
(for a corporation, its name and the name of its representative)

Designated Patent Applicant's Domicile or Residence

Written Application for Approval on Adding Invention Sharing Business Entities

I hereby file an application as follows concerning the business entity that is to be newly approved to handle the information on the invention for security designation associated with the Patent Application _____, pursuant to the provisions of Article 76, paragraph (1) of the Act on the Promotion of Ensuring National Security Through Integrated Implementation of Economic Measures.

1. The business entity that is to be newly approved to handle the information on the invention for security designation

Name of the Business Entity (for a corporation, its name and the name of its representative)	
Domicile or Residence of the Business Entity	

2. Reasons for the need to newly approve the business entity to handle the information on the invention for security designation

3. Schedule of information management by the business entity newly approved to handle the information on the invention for security designation

Item	Checkbox	Remarks (Enter the reasons and future plans if not applicable)
(i) Possibility of measures on management of systematic information to be taken		
(a) Is it possible for the business entity to properly manage persons who handle the information on the	<input type="checkbox"/>	

invention for security designation (referred to as "information on the invention for security designation" below in this Form) (the persons are referred to as "persons handling information" below in this Form), and to appoint a responsible person who integrally manages appropriate implementation of the measures to prevent the leakage of the information on the invention for security designation (referred to as "responsible person for the information on the invention for security designation" below in this Form)?		
(b) Is it possible for the business entity to clarify the responsibilities and duties of the responsible person for the information on the invention for security designation and other persons handling information?	<input type="checkbox"/>	
(c) Is it possible for the business entity to develop a management register stating the security designation period, the names of the present and past responsible persons for the information on the invention for security designation and other persons handling information, the status of the authorization to work the invention, and other necessary information to properly manage the information on the invention for security designation?	<input type="checkbox"/>	
(d) Is it possible for the business entity to handle the information on the invention for security designation as trade secrets	<input type="checkbox"/>	

(meaning the trade secrets provided for in Article 2, paragraph (6) of the Unfair Competition Prevention Act (Act No. 47 of 1993))?		
(e) Is it possible for the business entity to formulate and implement rules on proper management of the information on the invention for security designation and evaluate the operation of the rules and make improvements in order to appropriately take measures on the management of the information on the invention for security designation?	<input type="checkbox"/>	
(f) When formulating the rules referred to item (i), sub-item (e) or making modification to those rules, is it possible for the business entity to obtain the confirmation of the designated patent applicant in advance?	<input type="checkbox"/>	
(g) Is it possible for the business entity to develop a system for handling affairs when the leakage of the information on the invention for security designation has occurred or is likely to occur?	<input type="checkbox"/>	
(ii) Possibility of measures on management of personnel information to be taken		
(a) Is it possible for the business entity to limit the scope of persons handling information to the minimum necessary?	<input type="checkbox"/>	
(b) When adding a person to persons handling information, is it possible for the business entity to have the responsible person for the information on the invention for security designation check whether there is a risk that the person will	<input type="checkbox"/>	

leak the information on the invention for security designation in advance, and when it is likely that the risk will occur, is it possible for the business entity to prohibit the person from handling the information on the invention for security designation?		
(c) Is it possible for the business entity to take measures to have persons handling information comply with the rules referred to in item (i), sub-item (e)?	<input type="checkbox"/>	
(d) Is it possible for the business entity to have the responsible person for the information on the invention for security designation conduct necessary education and training for other persons handling information?	<input type="checkbox"/>	
(iii) Possibility of measures on management of physical information to be taken		
(a) Is it possible for the business entity to take measures to specify a zone for handling the information on the invention for security designation or for storing documents, drawings, electronic or magnetic records (meaning a record made by electronic method, magnetic method, or other methods not perceivable by human senses) or objects in which the information on the invention for security designation is recorded, or objects that embody the information on the invention for security designation (referred to as "documents, etc. containing the information on the invention for security designation" below in this Form) and to manage and restrict entries into the zone	<input type="checkbox"/>	

that has been specified (referred to as "specified zone" below in this Form)?		
(b) Is it possible for the business entity to store documents, etc. containing the information on the invention for security designation in the specified zone after taking measures to prevent the leakage of the information on the invention for security designation using appropriate storage facilities?	<input type="checkbox"/>	
(c) When newly copying or creating documents, etc. containing the information on the invention for security designation, is it possible for the business entity to make it a rule to obtain the approval of the responsible person for the information on the invention for security designation in advance by presenting the reasons and the method, and limit the number of the copies and the documents, etc. to the minimum necessary?	<input type="checkbox"/>	
(d) When taking out documents, etc. containing the information on the invention for security designation from the specified zone, is it possible for the business entity to make it a rule to obtain the approval of the responsible person for the information on the invention for security designation in advance by presenting the reasons and the method?	<input type="checkbox"/>	
(e) When disposing of documents, etc. containing the information on the invention for security designation, is it possible for the	<input type="checkbox"/>	

business entity to use means that make restoration impossible?		
(f) Beyond what is stated in sub-items (a) through (e), is it possible for the business entity to take measures to prevent theft and loss of documents, etc. containing the information on the invention for security designation?	<input type="checkbox"/>	
(iv) Possibility of measures on management of technical information to be taken		
(a) Is it possible for the business entity to take measures to limit the persons who can handle the information on the invention for security designation on a computer?	<input type="checkbox"/>	
(b) When a computer for handling the information on the invention for security designation is connected to telecommunications lines, is it possible for the business entity to take measures to prevent acts of unauthorized computer access (meaning the acts of unauthorized computer access provided for in Article 2, paragraph (4) of the Act on Prohibition of Unauthorized Computer Access (Act No. 128 of 1999))?	<input type="checkbox"/>	
(c) Beyond what is stated in sub-items (a) and (b), is it possible for the business entity to take measures to prevent the leakage of the information on the invention for security designation on a computer?	<input type="checkbox"/>	

(Points to Heed in Making Entries)

If it is possible to take the measures stated in each item, put a check mark in the checkbox. For the items marked with a check mark, attach documents certifying that it is possible to take the measures.

(Remarks)

The size of the form is to be A4 size, Japanese Industrial Standards.

To the Prime Minister,

Designated Patent Applicant's Name
(for a corporation, its name and the name of its
representative)

Designated Patent Applicant's Domicile or Residence

Written Notification for the Modification of Invention Sharing Business Entities

Following the modification of the invention sharing business entity related to the invention for security designation for the Patent Application , I hereby file this notification pursuant to the provisions of Article 76, paragraph (2) of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures.

1. Invention sharing business entity to which the modifications have been made

Name of the Business Entity (for a corporation, its name and the name of its representative)	
Domicile or Residence of the Business Entity	

2. Content of the modification

3. Reasons for the modification

(Remarks)

The size of the form is to be A4 size, Japanese Industrial Standards.

To the Prime Minister,

Requester's Name

(for a corporation, its name and the name of its representative)

Requester's domicile or residence

Written Claim for Compensation

I hereby claim compensation as follows for the invention for security designation associated with Patent Application _____, pursuant to the provisions of Article 80, paragraph (2) of the Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures.

1. Total amount of compensation claimed and their breakdown

2. Reasons for the compensation claim

(Remarks)

The size of the form is to be A4 size, Japanese Industrial Standards.

Form 5 (Re: Article 13)

Front Side

No. issued on YY/MM/DD (Valid until YY/MM/DD)		
Title	Name	Date of Birth

(Photo)	Identification card for on-site inspections under the provisions of Article 84, paragraph (1) of the Act on the Promotion of Ensuring National Security Through Integrated Implementation of Economic Measures (Person with issuing rights)
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Back Side

<p style="text-align: center;">The Act on the Promotion of Ensuring National Security through Integrated Implementation of Economic Measures</p> <p style="text-align: center;">Excerpt</p> <p>(Collection of Reports and On-site Inspections)</p> <p>Article 84 (1) To the extent necessary for the enforcement of the provisions of this Chapter, the Prime Minister may have a designated patent applicant and an invention sharing business entity submit necessary reports or materials regarding the handling of inventions for security designation, or have an employee of the Prime Minister enter the relevant person's office or other necessary places, ask questions regarding the handling of inventions for security designation or inspect books, documents, or other items.</p> <p>(2) The employee who conducts an on-site inspection under the provisions of the preceding paragraph must carry an identification card and present it when requested by the people concerned.</p> <p>(3) The authority for the on-site inspections under the provisions of paragraph (1) must not be construed as having been granted for criminal investigation.</p> <p>Article 96 A person who has committed a violation that falls under any of the following items is punished by a fine of not more than three hundred thousand yen.</p> <p>(i) through (iv) (Omitted)</p> <p>(v) when a person has failed to report or submit materials, has made a false report, has submitted false materials, has failed to answer the questions of the relevant official, has given a false answer, or has refused, obstructed, or avoided an inspection under the provisions of Article 48, paragraphs (5) through (7), Article 58, paragraph (2), or Article</p>

84, paragraph (1); (vi) and (vii) (Omitted)
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(Remarks) The size of the form is to be B8 size, Japanese Industrial Standards.